

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michiharu Motonishi et al.
Appl. No.: 10/681,474
Filed: October 8, 2003
For: Head Gimbal Assembly and
Method for Manufacturing the
Same
Attorney Docket No: 9281/4661

Examiner: George Letschner
Art Unit: 2653

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,078,472 (Equivalent to JP 11-149625)	June 20, 2000	Mitoh et al
5,499,668 (Equivalent to JP 7-171689)	March 19, 1996	Katayama et al.
FOREIGN PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 2000-215428	August 4, 2000	Japan
JP 2001-196420	July 19, 2000	Japan
JP 11-149625	June 2, 1996	Japan
JP 7-171689	July 11, 1995	Japan
OTHER ART – NON PATENT LITERATURE DOCUMENTS		
Copy of Notification of Reasons for Refusal from corresponding Japanese patent application no. 2002-304632, May 24, 2005		

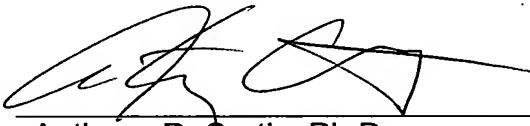
Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). An English translation of the Notification of Reasons for Refusal is enclosed, therefore, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

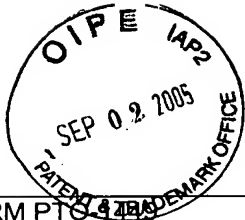
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

8/31/05
Date



Anthony P. Curtis, Ph.D.
Reg. No. 46,193



FORM PTO 4285	SERIAL NO. 10/681,474	CASE NO. 9281/4661
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 8, 2003	GROUP ART UNIT 2653
(use several sheets if necessary)		APPLICANT(S): Michiharu Montonishi et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	6,078,472 (Equivalent to JP 11-149625)	June 20, 2000	Mitoh et al		
	B2	5,499,668 (Equivalent to JP 7-171689)	March 19, 1996	Katayama et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B3	JP 2000-215428	August 4, 2000	Japan		X
	B4	JP 2001-196420	July 19, 2000	Japan		X
	B5	JP 11-149625	June 2, 1996	Japan		
	B6	JP 7-171689	July 11, 1995	Japan		

OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	B7	Copy of Notification of Reasons for Refusal from corresponding Japanese patent application no. 2002-304632, May 24, 2005

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.